### PATENT COOPERATION TREATY

From the	INTERNATION	AL BUREAU
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### **PCT**

#### **NOTIFICATION OF ELECTION**

(PCT Rule 61.2)

To:

Commissioner
US Department of Commerce
United States Patent and Trademark
Office, PCT
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Applicant

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The designated Office is hereby notified of its election made:	
X in the demand filed with the International Preliminary Examining Authority on:	
12 February 2001 (12.02.01)	
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The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

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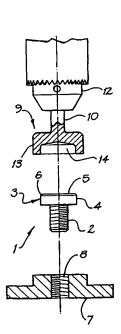
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For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: SECURITY SCREW



(57) Abstract: The present invention relates generally to a security screw (1) having a threaded shank portion (2) and head portion (3). The head portion (3) is characterised by having a circular profile with a cylindrical peripheral wall (4) and a smooth crown (5) without any tool engagement slots, recesses or other profile in contrast to a conventional screw. The security screw (1) is driven into place by way of a driving tool (9) having a shank portion (10) adapted to be rotated by a suitable implement such as a power drill. The driving tool (9) further comprises a cup portion (13) incorporating a cylindrical recess (14) coaxial with the shank (10). The recess (14) is sized and shaped to fit over the head portion (3) of the screw (1).

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### SECURITY SCREW

### Field of the Invention

This invention relates to a security screw and has been devised particularly though not solely for the fastening together of components in structures requiring a vandal proof or tamper proof installation.

### Background of the Invention

There are many situations where it is necessary to 10 fasten together components of a structure or apparatus which are to be used in an environment which is susceptible to vandalism or unauthorised tampering. The present security screw has been devised particularly for use in fastening components such as street signs, banner arms and 15 other fittings to roadside poles or other similar It is envisaged however that a security installations. screw of this type has a much wider application such as the fastening of window locks or door locks or in any other situation where a screw type fastener must be secured by an 20 authorised user while yet remaining difficult or impossible to release by an unauthorised user.

### Summary of the Invention

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In one aspect, the present invention provides a security screw comprising a threaded shank portion and a head portion, the head portion being characterised by having a circular profile about the axis of the shank portion and a smooth exposed surface without any tool engagement slots or discontinuities.

Preferably the exposed surface of the head portion is in the form of a dome-shaped crown. Alternatively the exposed surface is substantially flat with a rounded shoulder to a cylindrical periphery of the head portion.

Alternatively the exposed surface of the head portion includes a recess of a symmetrical configuration which is disposed coaxial with the threaded shank portion.

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Preferably the recess is at least partly hemispheroidal.

Preferably the security screw is manufactured by the so-called "cold headed" process from a corrosion resistant material such as austenitic stainless steel.

Preferably the screw is manufactured from 316 or 304 stainless steel.

In a further aspect the present invention provides a driving tool for a predetermined security screw of the type comprising a threaded shank portion and a head portion, the head portion of the security screw being characterised by having a circular profile about the axis of the shank portion and a smooth exposed surface without any tool engagement slots or discontinuities, the driving tool comprising a shank portion adapted to be rotated by a suitable implement and a head engaging portion incorporating a friction drive surface which is coaxial with the shank portion, the friction drive surface being configured to fit over the head portion of the screw so as to provide a friction drive between the head engaging portion and the security screw when the driving tool is rotated by the implement.

Preferably the shank portion of the driving tool is adapted to fit into the chuck of a power drill.

Preferably the frictional drive surface in the head engaging portion is at least in part shaped complementary to the exposed surface of the head portion of the security screw.

Preferably the head engaging portion includes a concave recess such as a female dome-shaped recess.

Alternatively the frictional drive surface in the head engaging portion includes a recess having a substantially cylindrical wall immediately adjacent a mouth of the recess, an inwardly turned shoulder portion adapted to bear against a shoulder portion of the head portion of the screw, and a base portion which is deeper in the centre than in the peripheral regions, so that the centre of the recess does not bear against a crown on the head of the

screw.

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Alternatively the frictional drive surface in the head engaging portion is at least in part dome-shaped and adapted to frictionally engage a corresponding recess in the head portion of the screw.

Preferably at least the head engaging portion of the driving tool and the head portion of the security screw are manufactured from the same material. Typically the entire driving tool and the entire security screw are manufactured from the same material.

Preferably the material is an austenitic stainless steel such as 316 or 304 stainless steel.

One important embodiment of the invention is one in which the driving tool has a head engaging portion with an outer circular shape which is substantially of the same diameter as the head portion of the security screw. This embodiment permits a further inventive development to be used namely the combination of a security washer for use with the security screw, the washer having an upstanding collar portion which surrounds the head portion of the screw thereby preventing access of a tool to the peripheral portion of the head portion of the screw.

Thus, a further inventive aspect is a security screw having a head portion and a threaded shank portion, and a complementary washer which fits on the screw and has an upstanding collar portion which, in use, surrounds the head portion to prevent access to the periphery of the head portion with tools for unscrewing the screw, the screw head portion having a circular profile about the axis of the shank portion and a smooth exposed surface without any tool engagement slots or discontinuities such that torque may be applied to the screw through a driving tool having a screw engagement portion the exterior profile of which is circular and substantially of the same diameter as the head portion of the screw and an interior profile which is at least in part complementary to a portion of the profile of the smooth exposed surface.

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Preferably the smooth exposed surface is dome-shaped. Alternatively, the smooth exposed surface has a smoothly rounded shoulder defining an edge surface portion and the shoulder is adapted to be engaged frictionally by a concave complementary portion of the driving tool.

Alternatively the exposed surface of the head portion includes a recess of a symmetrical configuration and disposed coaxial with the threaded shank portions.

Preferably the recess is at least partly hemispheroidal.

For good torque transmission characteristics, the material of the screw and driving tool is preferably the same, e.g. stainless steel.

In section the rounded shoulder is preferably partcircular.

For higher security, the washer preferably has an inner edge portion which, in use, extends axially above the top of the exposed surface and the washer is of a hard material, such as hardened steel, to resist cutting of the washer and cutting of the head portion. This increases the resistance to unscrewing with ordinary tools, but the special driving tool can be used to remove the screw.

### Brief Description of the Drawings

Notwithstanding any other forms that may fall within its scope, one preferred form of the invention will now be described by way of example only with reference to the accompanying drawings in which:

Fig. 1 is a diagrammatic perspective view of a security screw and a driving tool according to one embodiment of the invention with the driving tool engaged in the chuck of a power drill;

Fig. 2 is a diagrammatic longitudinal cross section through the aligned axes of the driving tool, security screw, and a corresponding mounting nut;

Fig. 3 is a similar cross section to Fig. 2 showing the head of a security screw engaged with a driving tool according to one embodiment of the invention;

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Fig. 4 is a schematic cross-section through another embodiment showing installation on a workpiece; and

Fig. 5 is a schematic cross-section of further embodiments of a security screw and driving tool.

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## Detailed Description of the Preferred Embodiments

In the embodiment of Figs 1-3, a security screw 1 is provided having a threaded shank portion 2 and a head portion 3. The head portion 3 is characterised by having a circular profile and a smooth exposed surface which in this example includes a cylindrical peripheral wall 4 and a smooth crown 5 without any tool engagement slots, discontinuities or other profile in contrast to a conventional screw. The crown 5 is typically flat, merging into the cylindrical side wall 4 by way of a rounded shoulder 6.

with a mounting nut 7 having a female thread 8 by way of a driving tool 9 having a shank portion 10 adapted to be rotated by a suitable implement such as a power drill 11. To this end, the shank 10 of the driving tool 9 is engageable in the chuck 12 of the power tool in the well known manner. The driving tool 9 further comprises head engaging portion in the form of a cup portion 13 incorporating a cylindrical recess 14 coaxial with the shank 10. The recess 14 is sized and shaped to fit over the head portion 3 of a screw such as 1 as will be explained with reference to Figure 3.

The recess 14 in the cup portion 13 has a cylindrical side wall 15 immediately adjacent the mouth 16 of the recess transitioning into an inwardly curved shoulder portion 17 adapted to engage and bear against the shoulder portion 6 of the screw 1. The base of the cylindrical recess 14 is deeper at its centre portion 18 than at the shoulder portion 17 so that the centre of the recess 14 does not bear against the flat crown 5 of an engaged screw. To this end the base portion is typically a shallow conical

surface in configuration.

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In use, when it is desired to fasten a component in place in a secure manner, the component is aligned where desired and the security screw 1 offered up to the mounting nut 7 and rotated to a "finger tight" engagement by the operator. The cup portion 13 of the driving tool 9 is then located over the head 3 of the security screw 1 and force exerted through the power tool 11 as shown by arrows 18 (Fig. 1) forcing the recess 14 in the cup portion 13 of the driving tool 9 into contact with the head 3 of the security screw 1. The driving tool 9 is then rotated by the power drill 11, in turn rotating the head 3 of the screw 1 by frictional engagement between the screw head 3 and the cup 13 of the driving tool 9, until the screw 1 is firmly in place.

It is a particular feature of the security screw 1 that the screw 1 can be removed at any time by authorised personnel having a suitable driving tool, by engaging the tool as previously described and rotating the drill in an anti-clockwise direction to undo the screw 1. In this sense the security screw 1 according to this embodiment of the invention is quite different from known prior art types of security screws which have "one way" screwdriver slots or ramps incorporated in the head of the screw.

Although the operation of the security screw will work to some degree with a wide range of materials used for both the screw and the driving tool, it has been found that for an efficient and consistent operation the head of the screw and the cup portion of the driving tool should be made of the same materials. In practice this normally means that the entire security screw and driving tool are formed from the same material. The material is typically a metal and it is believed that the invention will work with a wide range of metals such as brass or black steel, but has been found particularly effective when both the screw and the driving tool are manufactured from austenitic stainless steel.

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It is quite common to manufacture stainless steel screws from austenitic stainless steel such as 304 or 316 stainless steel and such screws are both tough in use and corrosion resistant. The screws are commonly made by the so-called "cold heading process".

By manufacturing the security screws according to an embodiment of the invention by a similar process, but without any tool engagement slots or discontinuities, it is relatively simple and inexpensive to provide a corresponding driving tool made from an identical material e.g. from 304 or 316 stainless steel.

By matching the materials in the security screw and the driving tool in this manner, and by providing a frictional engagement between the head of the screw and the head engaging portion of the driving tool, it has been found that an effective friction drive can be provided which enables a screw to be fastened to a desired torque which will make it extremely difficult for unauthorised removal of the screw due to the smooth nature of the crown of the screw which does not provide any surfaces for engagement with a conventional tool such as a screwdriver or allen key and which furthermore is difficult to grip using pliers or the like. A screw so fastened, can however be readily removed by an authorised user having a driving tool made according to an embodiment of the invention.

Fig. 4 shows a particularly advantageous and enhanced aspect of the invention where extra protection against an unauthorised unscrewing of the screw is required. Like parts have been given like reference numerals. In this embodiment a significant extra component is a generally part conical washer 20 through which the screw shank 2 fits, the washer having typically a flat base surface 21 for abutting a workpiece 22, a central cylindrical recess 23 for accommodating the head portion 4 of the screw 1 and a part conical outer surface 24 which terminates in a lip 25 upstanding above the top of the crown of the screw.

Preferably the washer 20 is a relatively hard

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material such as tool steel suitably plated to resist corrosion and such a hardened steel resists cutting with conventional tools such as hacksaws and the washer thus enshrouds the head of the screw to prevent access with grippers or other ordinary tools.

Fig. 4 shows schematically an installed situation where a workpiece comprises an outer sheet of material 26 and an overlapped inner sheet of material 27 with an aligned aperture for accommodating the shank 2 of the screw 1 and a nut such as a capped nut 7 is provided on the inner surface of the sheet 27.

In this embodiment the driving tool 30 has a shank 31 for mounting in an electric drill conventionally and a head portion 32 which has an outer circular profile substantially the same as the head of the screw so that its leading edge portion can extend below the upstanding lip 25 and into the recess 23. The interior profile of the driving tool includes a concave shoulder 33 which is complementary to the curved shoulder on the edge of the crown of the screw. Typically the sectional shape is part circular and the curve commences substantially at the junction of the shoulder with the outer cylindrical

Figure 5 illustrates two further variants of a screw 50 and a driving tool 51 according to the invention. In one example the head 52 of the screw 50 is generally domeshaped and the driving tool 51 includes a head engaging portion 53 which has a recess 54 shaped complementary to the dome-shaped head 52 of the screw 50. The dome-shaped head 52 is shaped so that it cannot be gripped, and in particular about its perimeter, with pliers or the like for unauthorised removal. That is, unlike the preceding embodiments, the head 52 of the screw 50 does not include a cylindrical perimeter wall.

The alternate embodiment shown in Figure 5 includes a recess 55 formed in the head 52 of the screw 50. The recess 55 is smooth and without slots or discontinuities

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and, in this example, includes a hemispheroidal recess 56 formed coaxial with the screw 50. The driving tool 51 has a head engaging portion 53 which is at least partly ball-shaped and generally complementary to the hemispheroidal recess 56 of the screw head 52. The alternate screw 50 is designed to be used in conjunction with the security washer 24 which is similar in shape to the previous washer. The security washer 24 together with the alternate screw 50 minimises any likelihood of the head 52 of the screw 50 being gripped by pliers or the like. Like components of the embodiments of Figure 5 have been designated with the same reference numerals in order to avoid repetition.

It should also be appreciated that the frictional drive surface of the head engaging portion such as 13, 32 or 53 can be varied to increase or decrease the torque applied to the screw such as 2 or 50. Similarly, the material and/or surface roughness of the friction drive surface and corresponding exposed surface of the head of the screw may be varied depending on the torque required to be applied. The driving tool may also be used with a grit finish to promote frictional engagement between the friction drive surface of the driving tool and the corresponding head portion of the screw. The friction drive surface may entirely or only partly engage the head portion of the screw depending on the torque or driving force which is to be imparted to the screw. A temporary adhesive with strong sheer strength may also promote frictional engagement between the driving tool and the head The friction drive surface need not be of the screw. shaped complementary so as to "fit" the head of the screw but rather may be designed to wear with use where after time the mutually engaging surfaces are shaped generally complementary to one another.

Those skilled in the art will appreciate that the invention described herein is susceptible to variations and modifications other than those specifically described. For example, neither the head portion of the screw nor the

SUBSTITUTE SHEET (RULE 26) RO/AU friction drive surface of the driving tool are limited to the shapes described provided they broadly fall within the scope of the claimed invention.

All such variations and modifications are to be
considered within the scope of the present invention the
nature of which is to be determined from the foregoing
description.

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# THE CLAIMS DEFINING THE INVENTION ARE AS FOLLOWS:

- A security screw having a head portion and a threaded shank portion, and a complementary washer which fits on the screw and has an upstanding collar portion which, in use, surrounds the head portion to prevent access to the periphery of the head portion with tools for unscrewing the screw, the screw head portion having a circular profile about the axis of the shank portion and a smooth exposed surface without any tool engagement slots or 10 discontinuities such that torque may be applied to the screw through a driving tool having a screw engagement portion the exterior profile of which is circular and substantially of the same diameter as the head portion of the screw and an interior profile which is at least in part 15 complementary to a portion of the profile of the smooth exposed surface.
  - 2. A security screw arrangement as defined in claim 1 wherein the smooth exposed surface is dome-shaped.
  - A security screw arrangement as defined in claim 1 wherein the smooth exposed surface has a smoothly rounded 20 shoulder defining an edge surface portion and the shoulder is adapted to be engaged frictionally by a concave complementary portion of the driving tool.
    - A security screw arrangement as defined in claim 1 wherein the exposed surface of the head portion includes a recess of a symmetrical configuration and disposed coaxial with the threaded shank portion.
    - A security screw as defined in any one of the preceding claims wherein the washer has an inner edge 30 portion adapted to extend axially above the top of the exposed surface of the screw and the washer is of a relatively hard material to resist cutting of the washer.
    - A method of installing or connecting components using a screw in a manner to resist unscrewing, the method 35 comprising using a security screw and washer as defined in any one of the above claims and using a driving tool to

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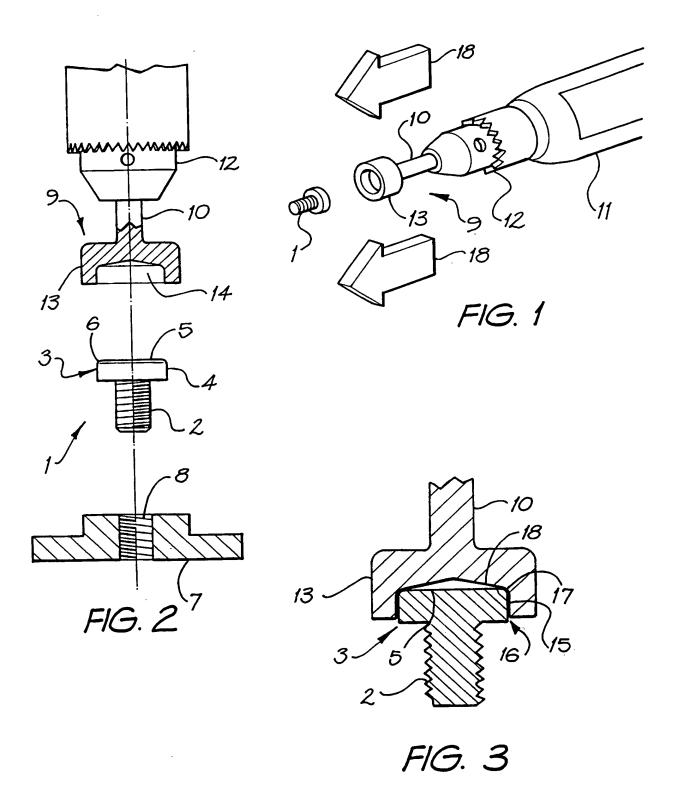
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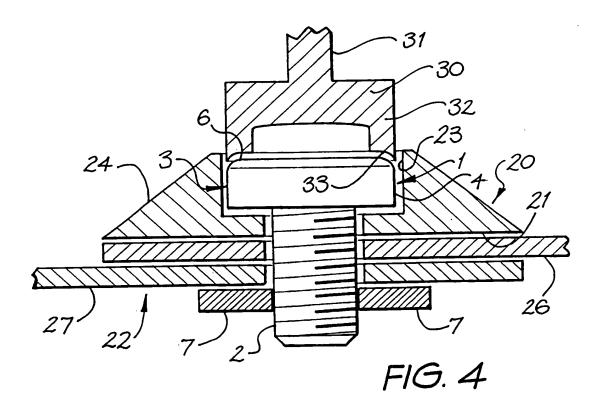
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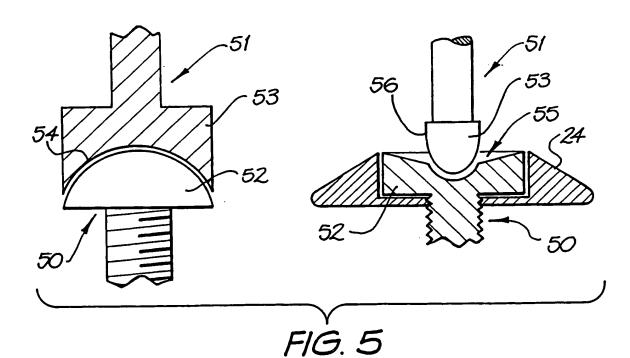
apply torque to the screw by entering engagement of a friction drive surface of the driving tool which is at least in part shaped complementary to a portion of the smooth exposed surface of the head portion of the screw.

- 7. A security screw comprising a threaded shank portion and a head portion, the head portion being characterised by having a circular profile about the axis of the shank portion and a smooth exposed surface without any tool engagement slots or discontinuities.
- 8. A security screw as defined in claim 7 wherein the exposed surface of the head portion is in the form of a dome-shaped crown.
  - 9. A security screw as defined in claim 7 wherein the screw is manufactured from 316 or 304 stainless steel.
- of the type comprising a threaded shank portion and a head portion, the head portion of the security screw being characterised by having a circular profile about the axis of the shank portion and a smooth exposed surface without any tool engagement slots or discontinuities, the driving tool comprising a shank portion adapted to be rotated by a suitable implement and a head engaging portion incorporating a friction drive surface which is coaxial with the shank portion, the friction drive surface being configured to fit over the head portion of the screw so as to provide a friction drive between the head engaging portion and the security screw when the driving tool is rotated by the implement.
- 11. A washer being adapted to locate under a head
  30 portion of a screw, the washer comprising an upstanding
  collar portion which is adapted to surround the head
  portion of the screw thereby preventing access of a tool to
  a peripheral portion of the head portion of the screw.



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International application No. PCT/AU 00/00834

Α.	CLASSIFICATION OF SUBJECT MATTER			
Int Cl <sup>7</sup> :	F16B 41/00, 23/00, 43/00			
According to In	ternational Patent Classification (IPC) or to both national	classification and IPC		
В.	FIELDS SEARCHED			
Minimum docus	mentation searched (classification system followed by cla 1/00, 23/00, 43/00	ssification symbols)		
Documentation AU: IPC as a	searched other than minimum documentation to the extendove	nt that such documents are included in the	fields searched	
Electronic data USPTO, IBM	base consulted during the international search (name of d $\Lambda, \mathbf{DWPI}$	ata base and, where practicable, search te	rms used)	
C.	DOCUMENTS CONSIDERED TO BE RELEVANT			
Category*	Citation of document, with indication, where appr	opriate, of the relevant passages	Relevant to claim No.	
X Y	AU 52150/73 (475880) B (OKADA) 15 Aug Whole document	ust 1974	1-3, 6-10 5	
X Y X Y	AU 26936/71 (427016) B (AUSTRALIAN SCREW CO. PTY. LIMITED) 31 August 1972 Whole document  US 4171662 A (SIMONE et al) 23 October 1979 Whole document, particularly figs 15 - 17  1-3, 6-10 5			
X	Further documents are listed in the continuation of Box C	X See patent family an	nnex	
* Special categories of cited documents:  "A" Document defining the general state of the art which is not considered to be of particular relevance  "E" earlier application or patent but published on or after the international filing date  "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)  "O" document referring to an oral disclosure, use, exhibition or other means  "P" document published after the international filing date or priority date and not in conflict with the application but cite understand the principle or theory underlying the invention document of particular relevance; the claimed invention can be considered novel or cannot be considered to involve an inventive step when the document of particular relevance; the claimed invention can be considered to involve an inventive step when the document of particular relevance; the claimed invention can be considered to involve an inventive step when the document of particular relevance; the claimed invention can be considered to involve an inventive step when the document of particular relevance; the claimed invention can be considered to involve an inventive step when the document of particular relevance; the claimed invention can be considered to involve an inventive step when the document of particular relevance; the claimed invention can be considered to involve an inventive step when the document of particular relevance; the claimed invention can be considered to involve an inventive step when the document of particular relevance; the claimed invention can be considered novel or cannot be considered to involve an inventive step when the document of particular relevance; the claimed invention can be considered to involve an inventive step when the document of particular relevance; the claimed invention be considered to involve an inventive step when the document of particular relevance.				
Date of the ac	tual completion of the international search	Date of mailing of the international sear	ch report	
14 August		Authorized officer		
Name and mailing address of the ISA/AU  AUSTRALIAN PATENT OFFICE PO BOX 200 WODEN ACT 2606 AUSTRALIA E-mail address: pct@ipaustralia.gov.au Facsimile No.: (02) 6285 3929  Authorized officer  B. NGUYEN Telephone No.: (02) 6283 2306				

international application No.
PCT/AU 00/00834

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C (Continua	tion). DOCUMENTS CONSIDERED TO BE RELEVANT	
Category*	Citation of document, with indication, where appropriate, of the relevant passage	Relevant to claim No.
X Y	US 4732517 A (CROUCH) 22 March 1988 Whole document	11 5
X Y	US 5904383 A (WAL) 18 May 1999 Whole document	11 5
X Y	US 4225165 A (KESSELMAN) 30 September 1980 Whole document	11 5



International application No.

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(Continuation of item 2 of first sheet)	1
Box I Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)	<del></del>
This international search report has not been established in respect of certain claims under Article 17(2)(a) for the follow	ing
Claims Nos:  because they relate to subject matter not required to be searched by this Authority, namely:	
Claims Nos: because they relate to parts of the international application that do not comply with the prescribed required to such an extent that no meaningful international search can be carried out, specifically:	rements
3. Claims Nos:  because they are dependent claims and are not drafted in accordance with the second and third sentence 6.4(a)  Box II Observations where unity of invention is lacking (Continuation of item 3 of first sheet)	es of Rule
This International Searching Authority found multiple inventions in this international application, as follows:	
See additional sheet	
<ol> <li>As all required additional search fees were timely paid by the applicant, this international search report all searchable claims</li> <li>X As all searchable claims could be searched without effort justifying an additional fee, this Authority distribute payment of any additional fee.</li> </ol>	d not
As only some of the required additional search fees were timely paid by the applicant, this internation report covers only those claims for which fees were paid, specifically claims Nos.:  No required additional search fees were timely paid by the applicant. Consequently, this international search fees were timely paid by the applicant.	
4. No required additional search fees were timety paid by the applicant. Consequency, report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:	
Remark on Protest  The additional search fees were accompanied by the applicant's protest.  No protest accompanied the payment of additional search fees.	

International application No.

PCT/AU00/00834

Supp	lemental	Box	H
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(To be used when the space in any of Boxes I to VIII is not sufficient)

### Continuation of Box No: II

The international application does not comply with the requirements of unity of invention because it does not relate to one invention or to a group of inventions so linked as to form a single general inventive concept. In coming to this conclusion the International Searching Authority has found that these are two inventions.

- 1. Claims 1-10 directed to a security screw and an assorted driving tool for driving this particular security screw. The screw is characterised by a head portion and a threaded shank portion, with the head portion having a circular profile about the axis of the shank portion and a smooth exposed surface without any tool engagement slots or discontinuities. It is considered that the characteristics of the head portion comprises a first "special technical feature".
- 2. Claim 11 directed to a washer being adapted to locate under a head portion of a screw, the washer comprising an upstanding collar portion adapted to surround the head portion of the screw. It is considered that the feature of "upstanding collar portion of the washer" comprises a second separate "special technical feature".

Since the above mentioned group of claims do not share either of the technical features identified, a "technical relationship" between the inventions, as defined in PCT rule 13.2 does not exist. Accordingly the international application does not relate to one invention or to a single inventive concept.

Information on patent family members

International application No. **PCT/AU 00/00834** 

This Annex lists the known "A" publication level patent family members relating to the patent documents cited in the above-mentioned international search report. The Australian Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent Doc	ument Cited in Search Report			Patent Family Member	
AU	52150/73	BE	795984		
		CH	561863		
		DE	2241293		
		ES	412144		
		FR	2174590		
		GB	1327719		
		IT	962202		
		JP	48087247		
		NL	7302545		
		US	3859888		
		ZA	7301002		
AU 26936/71	CA	1065922			
		DE	2732596		
		FR	2359003		
		GB	1550300		
		IT	1081136		
		JP	53027919		
		NL	7708205		
		NZ	184631		
		ZA	7704320		
US	4171662	NONE			
US	4732517	AU	37426/85		
		BR	8407142		
		CA	1247408		•
		EP	162916		
		US	4621230	·	
		wo	8502447		
US	5904383	NONE			
US	4225165	NONE			
					END OF ANNE

International application No. PCT/AU 00/00834

	LASSIFICATION OF SUBJECT MATTER		
t Cl <sup>7</sup> : F	F16B 41/00, 23/00, 43/00	· .	
conding to Int	ernational Patent Classification (IPC) or to both national of	lassification and IPC	
	FIELDS SEARCHED	·	
	nentation searched (classification system followed by class	sification symbols)	
CF16 B 41	1/00, 23/00, 43/00		
	<u></u>		
ocumentation	searched other than minimum documentation to the exten	t that such documents are included in the	fields searched
U: IPC as a	. <u></u>		
lectronic data	base consulted during the international search (name of da	ata base and, where practicable, search te	rms used)
JSPTO, IBN	M,DWPI		
<del></del>	DOCUMENTS CONSIDERED TO BE RELEVANT	·	
Category*	Citation of document, with indication, where appr	opriate, of the relevant passages	Relevant to claim No.
	AU 52150/73 (475880) B (OKADA) 15 Augu		1 2 6 10
x	Whole document	, · · ·	1-3, 6-10 5
Y	AU 26936/71 (427016) B (AUSTRALIAN S	CREW CO. PTY. LIMITED)	
•	31 August 1972		1.2.6-10
x	Whole document	1-3, 6-10	
Y	US 4171662 A (SIMONE et al) 23 October 1	1979	1 4 6 10
x	Whole document, particularly figs 15 - 17		1-4, 6-10
Y			
<u> </u>	Further documents are listed in the	X See patent family a	nnex
X	continuation of Box C		
* Spec	cial categories of cited documents:	later document published after the i	nternational filing date or
MAH Dom	ament defining the general state of the art which is	priority date and not in conflict Will	nderlying the invention
	considered to be of particular relevance ier application or patent but published on or after the	document of particular relevance, the considered novel or cannot be considered novel or cannot b	
:	mational filing date ument which may throw doubts on priority claim(s)		
~~ 11	which is cited to establish the publication date of	document of particular relevance; t	ve step when the document
	ther citation or other special reason (as specified) ument referring to an oral disclosure, use, exhibition	combined with one or more other s combination being obvious to a per	HED ODCITHENIS' 2001
	41	combination being dovious to a per document member of the same pat	ent family
"P" doc	later than the priority date claimed		
Date of the a	actual completion of the international search	Date of mailing of the international se	arch report
14 August		Authorized officer	
	nailing address of the ISA/AU	CIMILET LAND SHAPE	
PO BOX 20	AN PATENT OFFICE 0		
WODEN A	CT 2606 AUSTRALIA iress: pct@ipaustralia.gov.au	B. NGUYEN	
E-man add	Io.: (02) 6285 3929	Telephone No.: (02) 6283 2306	

international application No.
PCT/AU 00/00834

(Continuategory*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X Y	US 4732517 A (CROUCH) 22 March 1988 Whole document	11 5
X Y	US 5904383 A (WAL) 18 May 1999 Whole document	11 5
X Y	US 4225165 A (KESSELMAN) 30 September 1980 Whole document	11 5
: <b>T</b>		
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		·

International application No. PCT/AU00/00834

Box I	Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)
	rnational search report has not been established in respect of certain claims under Article 17(2)(a) for the following
reasons:	Claims Nos because they relate to subject matter not required to be searched by this Authority, namely:
2.	Claims Nos:  because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3.	Claims Nos:  because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a)
Вох П	Observations where unity of invention is lacking (Continuation of item 3 of first sheet)
ł	ternational Searching Authority found multiple inventions in this international application, as follows:  additional sheet
1.	As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims  As all searchable claims could be searched without effort justifying an additional fee, this Authority did not
3.	invite payment of any additional fee.  As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4.	No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Rem	ark on Protest  The additional search fees were accompanied by the applicant's protest.
	No protest accompanied the payment of additional search fees.

International application No. PCT/AU00/00834

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Эu	DD!	CIL	cuu	DUA	**

(To be used when the space in any of Boxes I to VIII is not sufficient)

### Continuation of Box No: II

The international application does not comply with the requirements of unity of invention because it does not relate to one invention or to a group of inventions so linked as to form a single general inventive concept. In coming to this conclusion the International Searching Authority has found that these are two inventions.

- 1. Claims 1-10 directed to a security screw and an assorted driving tool for driving this particular security screw. The screw is characterised by a head portion and a threaded shank portion, with the head portion having a circular profile about the axis of the shank portion and a smooth exposed surface without any tool engagement slots or discontinuities. It is considered that the characteristics of the head portion comprises a first "special technical feature".
- 2. Claim 11 directed to a washer being adapted to locate under a head portion of a screw, the washer comprising an upstanding collar portion adapted to surround the head portion of the screw. It is considered that the feature of "upstanding collar portion of the washer" comprises a second separate "special technical feature".

Since the above mentioned group of claims do not share either of the technical features identified, a "technical relationship" between the inventions, as defined in PCT rule 13.2 does not exist. Accordingly the international application does not relate to one invention or to a single inventive concept.

Information on patent family members

International application No. PCT/AU 00/00834

This Annex lists the known "A" publication level patent family members relating to the patent documents cited in the above-mentioned international search report. The Australian Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent Doc	ument Cited in Search Report			Patent Family Member
AU	52150/73	BE	795984	
		CH	561863	· · · ·
		DE	2241293	
	•	ES	412144	
		FR	2174590	
		GB	1327719	• ·
		IT	962202	we want
	•	JP	48087247	
		NL	7302545	
		US	3859888	
		ZA	7301002	
AU	26936/71	CA	1065922	
	.*	DE	2732596	•
		FR	2359003	
		GB	1550300	
		П	1081136	
		JP	53027919	
		NL .	7708205	
		NZ	184631	
		ZA	7704320	·
US	4171662	NONE		
US	4732517	AU	37426/85	
-		BR	8407142	
		CA	1247408	
		EP	162916	
		US	4621230	
		wo	8502447	
US	5904383	NONE		
ÚS	4225165	NONE		
				END OF ANNE

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PCT

INTERNATIONAL PRELIMINARY EXAMINATION 2001

(PCT Article 36 and Rule 70)

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MIPO	FUI
MARIA	

Applicant's or agent's file reference fp13078	FOR FURTHER ACTION		f Transmittal of International Preliminary rt (Form PCT/IPEA/416).	
International application No.	International filing date (day/month/year)		Priority Date (day/month/year)	
PCT/AU 00/00834 12 July 2000			12 July 1999	
International Patent Classification (IPC)	or national classification	and IPC		
Int. Cl. <sup>7</sup> F16B 41/00, 23/00, 43/0	00			
Applicant GOODCART PTY LIMITED et al				
	This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.			
2. This REPORT consists of a tot	al of 4 sheets, includi	ing this cover sheet.		
This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).				
These annexes consist of a tota	l of sheet(s).			
3. This report contains indications relating	g to the following items:	:		
I X Basis of the report				
II Priority				
III Non-establishmen	t of opinion with regard	to novelty, inventive	step and industrial applicability	
IV X Lack of unity of in	IV X Lack of unity of invention			
	V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement			
VI Certain documents	Certain documents cited			
VII Certain defects in	Certain defects in the international application			
VIII Certain observatio	Certain observations on the international application			
		Date of completion of the report 13 June 2001		
Name and mailing address of the IPEA/AU Autl		Authorized Officer		
be-G-barearing		NGUYEN elephone No. (02) 62	83 2306	

### INTERNATIONAL PREI 'MINARY EXAMINATION REPORT

Ir	national application No.	
	T/AU 00/00834	

I.	Basis of the report			
1.	With regard to the elements of the international application:*			
	X the international application as originally filed.			
	the description, pages , as originally filed,			
	pages , filed with the demand,			
	pages, received on with the letter of.			
	the claims, pages, as originally filed,			
	pages , as amended (together with any statement) under Article 19,			
	pages , filed with the demand,			
	pages, received on with the letter of.			
	the drawings, pages, as originally filed,			
	pages , filed with the demand,			
	pages, received on with the letter of.			
	the sequence listing part of the description:			
	pages , as originally filed			
	pages , filed with the demand			
	pages, received on with the letter of.			
2.	With regard to the language, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.  These elements were available or furnished to this Authority in the following language which is:			
	the language of a translation furnished for the purposes of international search (under Rule 23.1(b)).			
	the language of publication of the international application (under Rule 48.3(b)).			
	the language of the translation furnished for the purposes of international preliminary examination (under Rules 55.2 and/or 55.3).			
3.	With regard to any nucleotide and/or amino acid sequence disclosed in the international application, was on the basis of the sequence listing:			
	contained in the international application in written form.			
	filed together with the international application in computer readable form.			
	furnished subsequently to this Authority in written form.			
	furnished subsequently to this Authority in computer readable form.			
	The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the			
	international application as filed has been furnished.  The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished			
4.	The amendments have resulted in the cancellation of:			
	the description, pages			
	the claims, Nos.			
	the drawings, sheets/fig			
5.	This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).**			
*	Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this			
**	report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17).  Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report			

IV.	Lack of unity of invention	
1.	In response to the invitation to restrict or pay additional fees the applicant has:	
	restricted the claims.	
	paid additional fees.	
	paid additional fees under protest.	
	neither restricted nor paid additional fees.	
2.	This Authority found that the requirement of unity of invention is not complied with and chose, according to Rule 68.1, not to invite the applicant to restrict or pay additional fees.	
3.	This Authority considers that the requirement of unity of invention in accordance with Rules 13.1, 13.2 and 13.3 is	
	complied with.	
	X not complied with for the following reasons:	
The international application does not comply with the requirements of unity of invention because it does not relate to one invention or to a group of inventions so linked as to form a single general inventive concept. In coming to this conclusion the International Searching Authority has found that there are two inventions.		
1) Claims 1-10 directed to a security screw and an assorted driving tool for driving this particular security screw. The screw is characterised by a head portion and a threaded shank portion, with the head portion having a circular profile about the axis of the shank portion and a smooth exposed surface without any tool engagement slots or discontinuities. It is considered that the characteristics of the head portion comprises a first "special technical feature".		
2) Claim 11 directed to a washer being adapted to locate under a head portion of a screw, the washer comprising an upstanding collar portion adapted to surround the head portion of the screw. It is considered that the feature of "upstanding collar portion of the washer" comprises a second separate "special technical feature".		
Since the above mentioned group of claims do not share either of the technical features identified, a "technical relationship" between the inventions, as defined in PCT rule 13.2 does not exist.		
Accordingly the international application does not relate to one invention or to a single inventive concept. As all searchable claims could be searched without effort justifying an additional fee, this authority did not invite payment of any additional fee.		
4.	Consequently, the following parts of the international application were the subject of international preliminary examination in establishing this report:	
	X all parts.	
	the parts relating to claims Nos.	



rnational application No.
CT/AU 00/00834

#### V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1.	Statement			
	Novelty (N)	Claims Claims	5 1-4, 6-11	YES NO
	Inventive step (IS)	Claims Claims	1-11	YES NO
	Industrial applicability (IA)	Claims Claims	1-11	YES NO

#### 2. Citations and explanations (Rule 70.7)

The following documents identified in the International Search Report have been considered for the purposes of this report:

D1 AU 52150/73

D2 AU 26936/71

D3 US 4171662

D4 US 4732517

D5 US 5904383

D6 US 4225165

### NOVELTY (N) CLAIMS 1-4, 6-11.

Documents D1, D2, D3 disclose a security screw having the same features as defined in claims 1, 7 and 10. for example in D1, see the following comparison:

- A security screw having a threaded shank portion (1) and a circular profile of oval section head (2) (page 4 lines
- The head (2) having the shape of a truncated oval-section cone with a part-spherical surface (3) without any tool engagement slots or discontinuities-see page 4 lines 19-21.
- Fig. 4 of D1 shows a driving tool comprising a shank portion adapted to be rotated by a suitable implement, and a head engaging portion incorporating a friction drive surface which is configured to fit over the head portion of the

Dependant claims 2, 3, 4 add features, which are clearly disclosed in D1, D2 and D3 hence these claims are not novel. Dependent claims 6, 8 and 9 add features, which are either disclosed in the cited arts or are well known in the art. Therefore these claims are also not novel.

Independent claim 11 defines a washer adapted to locate under a head portion of a screw. However, the features of the washer as claimed in claim 11 are anticipated in documents D4, D5 and D6. See for example washer (25) in D4, washer (35) in D5.

#### **INVENTIVE STEP (IS) CLAIMS 1-11**

Claims 1-4, 6-11 as above.

Claim 5:

Documents D1, D2, D3 do not disclose a security screw with a complimentary washer as claimed in claim 5. However, documents D4, D5, D6 disclose the washer having the same features as in claim 5. Hence it would be obvious to a person skilled in the art to combine these documents, and this combination is obvious, to arrive with the security screw with the washer as claimed. Therefore claim 5 lacks an inventive step.